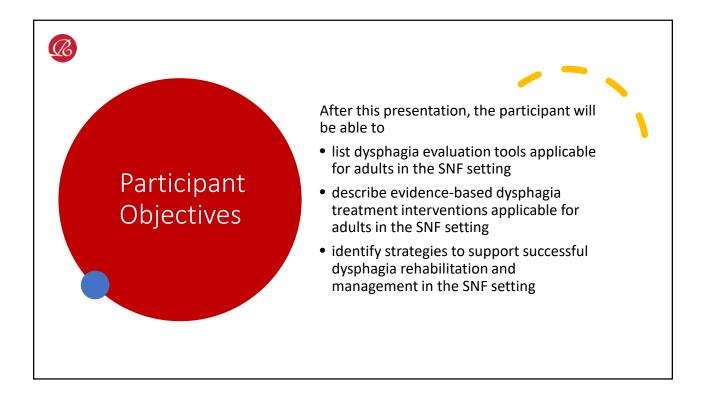
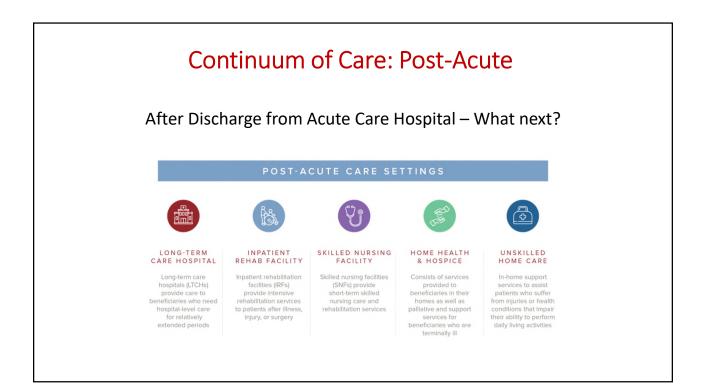
Components of Successful Dysphagia Intervention for the SLP in the Skilled Nursing Facility (SNF) Setting

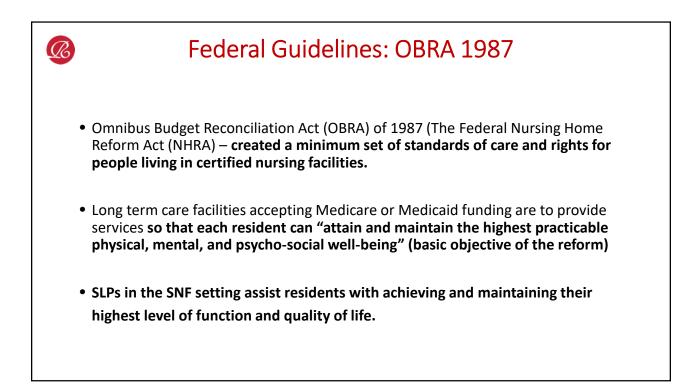


Speech and Hearing Association of Alabama (SHAA) February 2024 Erin Edwards, M.S., CCC-SLP; Gena Dismuke, M.S., CCC-SLP; Leigh Hester, M.Ed., CCC-SLP; Misty Sloan, M.S., CCC-SLP; Sushannah White, M.S., CCC-SLP; Jasmine Young, DrPH, CCC-SLP

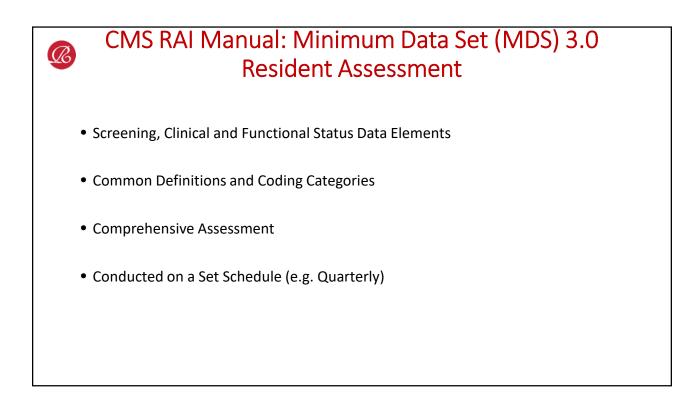


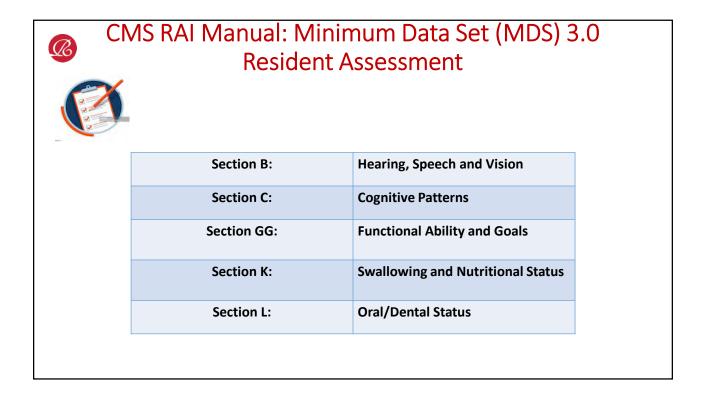




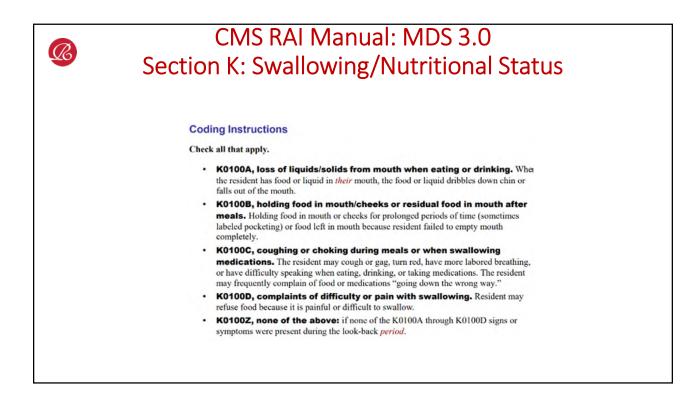








20	Section K: Swallowing/Nutritional Statu
	SECTION K: SWALLOWING/NUTRITIONAL STATUS
	<b>Intent:</b> The items in this section are intended to assess the many conditions that could affect the resident's ability to maintain adequate nutrition and hydration. This section covers swallowing disorders, height and weight, weight loss, and nutritional approaches. The assessor should collaborate with the dietitian and dietary staff to ensure that items in this section have been assessed and calculated accurately.
	K0100: Swallowing Disorder
	K0100: Swallowing Disorder K0100. Swallowing Disorder Signs and symptoms of possible swallowing disorder
	K0100. Swallowing Disorder
	K0100.       Swallowing Disorder         Signs and symptoms of possible swallowing disorder
	K0100.       Swallowing Disorder         Signs and symptoms of possible swallowing disorder
	K0100. Swallowing Disorder         Signs and symptoms of possible swallowing disorder         Check all that apply         A. Loss of liquids/solids from mouth when eating or drinking         B. Holding food in mouth/checks or residual food in mouth after meals         C. Coughing or choking during meals or when swallowing medications
	K0100.       Swallowing Disorder         Signs and symptoms of possible swallowing disorder



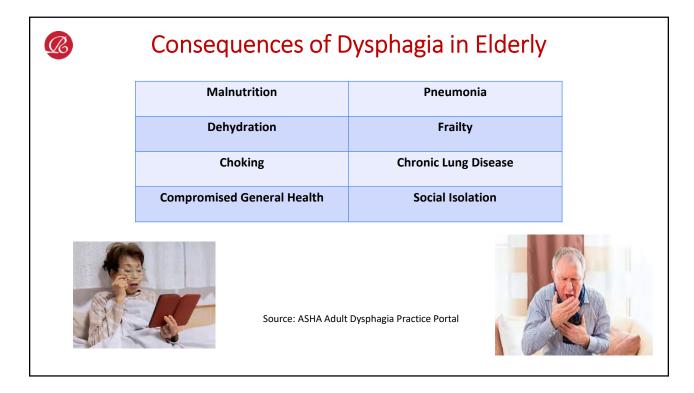
### Ø

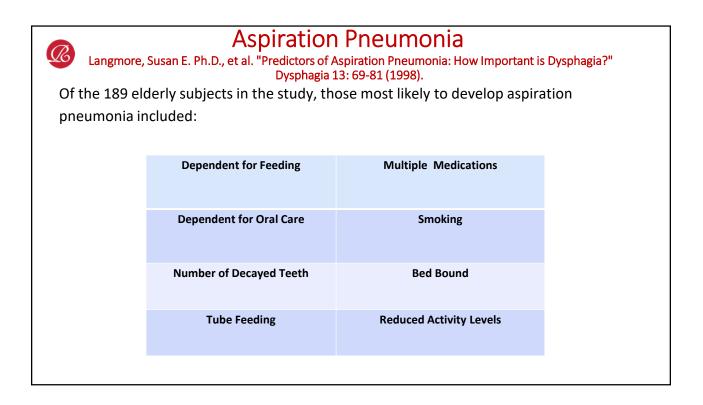
### Dysphagia Epidemiology

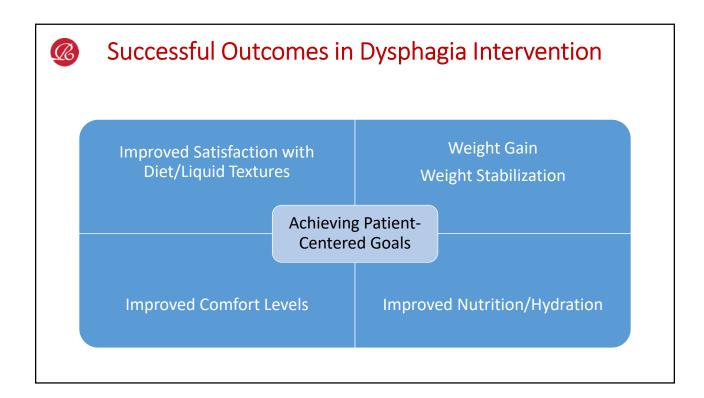
• Prevalent in elderly and persons with complex medical conditions, significantly higher prevalence in nursing homes when using screening compared to patient report.

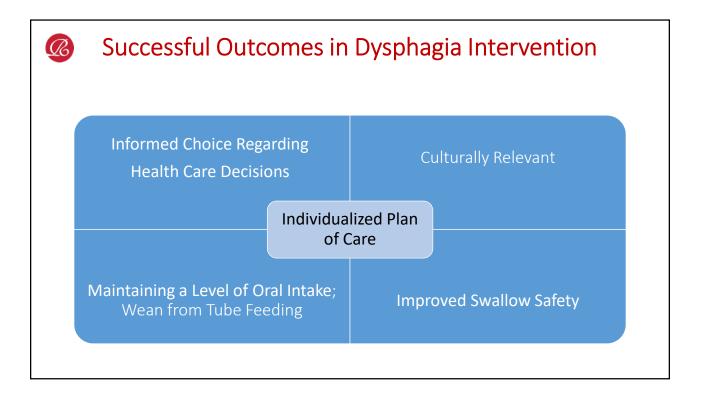
Hospital setting	36.5%
Rehabilitation setting	42.5%
Nursing home setting	50.2%

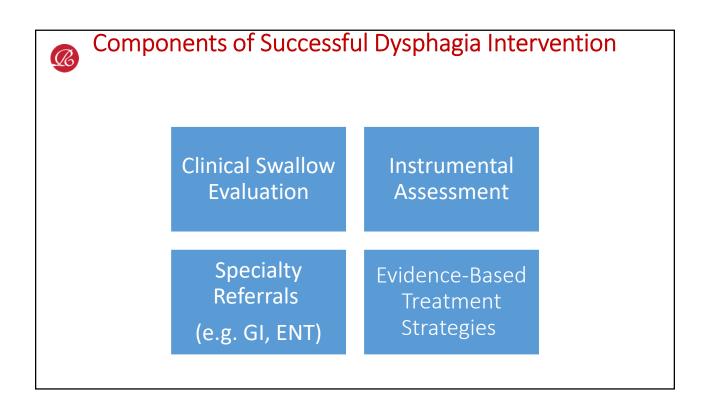
Prevalence of Oropharyngeal Dysphagia in Adults in Different Healthcare Settings: A Systematic Review and Meta-analysis; Rivelsrud, Maribeth C. et al, <u>Dysphagia</u>(2023) 38: 76-121





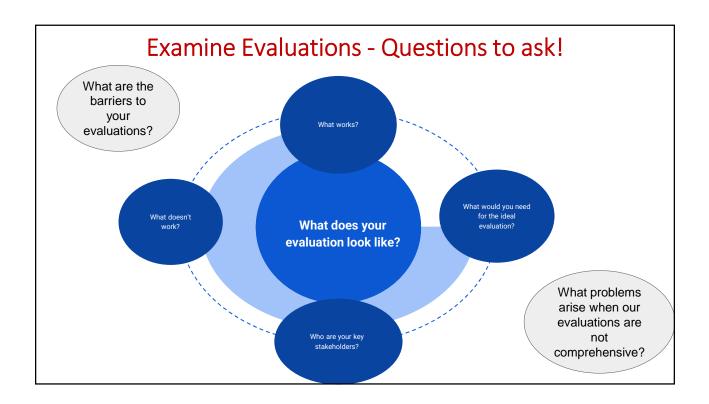


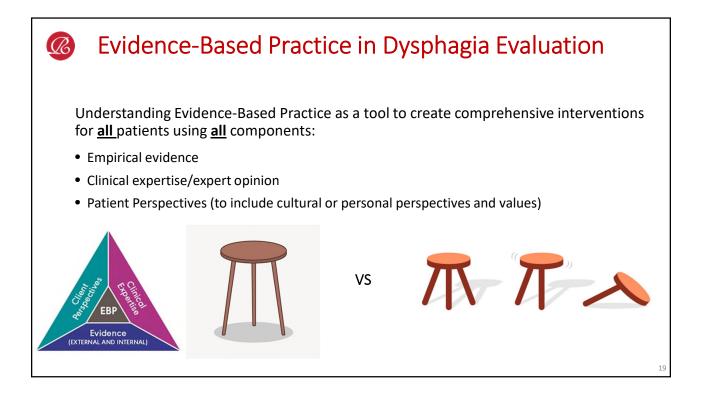


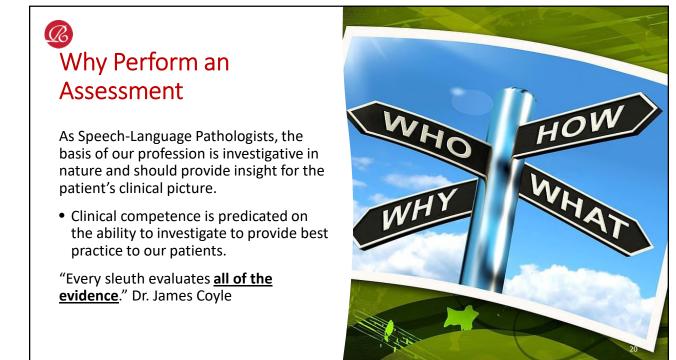




### Dysphagia Evaluation Tools for Adults in the SNF Setting (Clinical Swallow Evaluation, Instrumental Assessments)







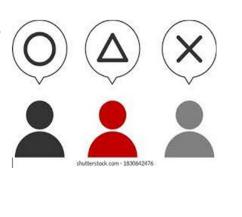
### Discrepancies in Evaluation Practices Among Clinicians

While examining the many discipline-specific processes and procedures, there seems to be lack of consistency with many variable related to completing initial dysphagia evaluations:

- The purpose for the initial dysphagia evaluation was left to interpretation providing differences across clinicians with the same level of experience.
- Standardized assessments exist; however, not all are norm-referenced for all populations/settings.
- Many clinicians utilize a variety of tools in order to complete the dysphagia assessment.
- Clinicians have found valuable information in the initial assessment that drives future interventions.

(Mathers-Schmidt & Kurlinski, 2003)

Ø



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Ø Dysphagia Evaluation Tools for Adults in the SNF Setting						
Screening	Non-Instrumental Assessment (i.e. Clinical [Bedside] Swallow Evaluation)	Instrumental Assessment				
<ul> <li>Utilized to determine the need for further assessment.</li> <li>ASHA suggests that a screening could be; <ul> <li>Questionnaires or interviews</li> <li>Monitoring the presence of swallowing difficulties</li> <li>pt/caregiver reports</li> <li>Standardized screening too</li> </ul> </li> </ul>	insight into the need for further evaluation	These assessments are used to examine the oral, pharyngeal, laryngeal, and upper esophageal areas of the swallow and to assess the effectiveness of treatment strategies.				

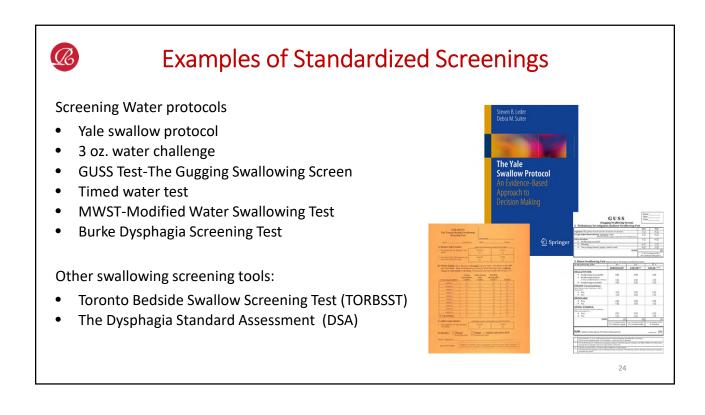
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### Screenings

The purpose of the screening is to understand if dysphagia exists. When performed correctly, the screener is able to provide information on next steps.

- The screening process helps to identify people who are at high risk for developing disease.
- The screening can be performed by the SLP or a trained provider to include nurses or physician.
- The observer is looking for particular behaviors during eating/drinking.
- The screening is not an authorized means to determine aspiration.
- Screenings may yield recommendations for additional screenings, additional services, and/or additional referrals.

(ASHA, n.d.& Coyle, 2015)



### Non-Instrumental Assessment

The purpose of the <u>non-instrumental assessment</u> is to fully examine the reported or observed signs/symptoms of dysphagia.

The clinician should have a basis of knowledge and skills to include, but not limited to:

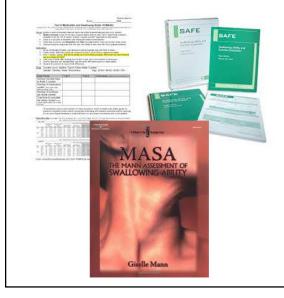
- Understanding of diagnoses when examining the patient medical record;
- Typical and atypical function of the swallowing mechanism
- The impact of the patient's ability to perform the functional oral, pharyngeal, laryngeal, and esophageal tasks or limitations in performing the task of swallowing;
- Understanding the barriers and effects of the limitations on the patient's overall quality of life.

The non-instrumental assessment will assist the clinician in:

- Creating a clinical picture in order to establish a plan of care.
- Informing of the potential for interventions.

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### **W** Examples of Standardized Non-Instrumental Assessments



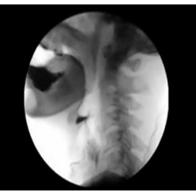
- Test of Mastication and Swallowing Solids (TOMASS) (Normed for the oral phase)
- Swallowing Ability and Function Evaluation (SAFE)
- Mann Assessment of Swallowing Ability (MASA)
- Cranial Nerve Assessments

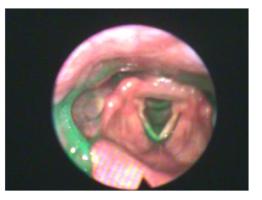
### Ø

### Instrumental Assessments

"It is impossible to determine pharyngeal and laryngeal anatomy and physiology, bolus flow characteristics, or presence of silent aspiration, based on a clinical swallow evaluation (CSE) alone."

(Leder, 2014)





# <section-header>

### Why Instrumental Assessments?

Treatment on the bases of physiology always allows for the appropriate intervention based on need and prevents:

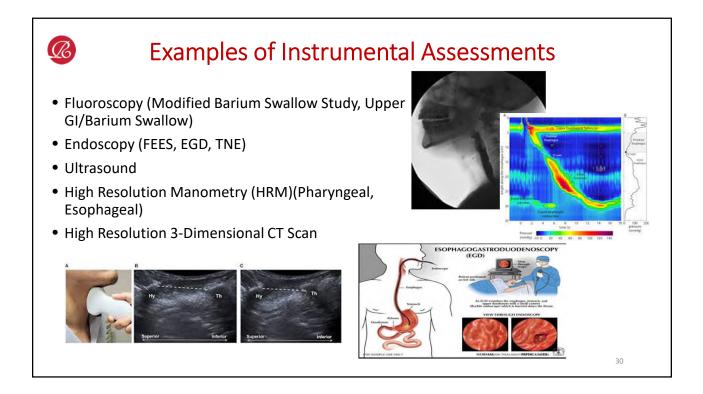
- Misdiagnosis- which occurs when only utilizing non-instrumental assessments without visualization of the swallow structures.
- Over treating- often occurs when providing inappropriate interventions or prolonged interventions if unsure of the physiological issue.

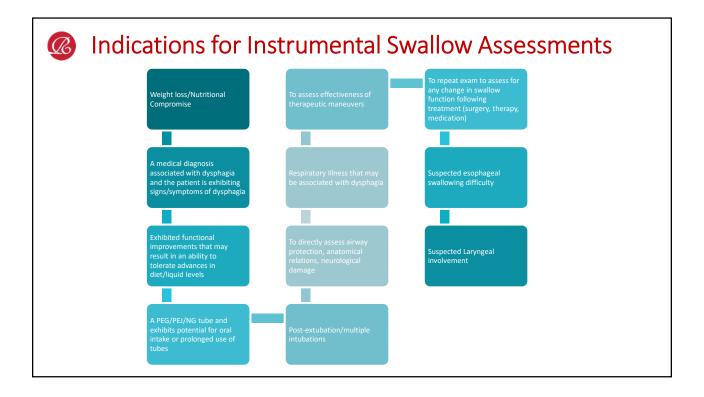
Treatment on the bases of physiology encourages:

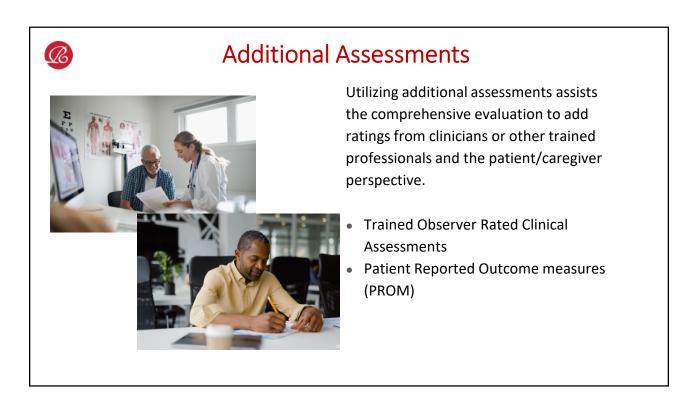
- Appropriate, targeted treatment techniques.
- Reassessment focus.

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• Cost-effective for the patient.







### Trained Observer Rated Clinical Assessments

Observer-Rated Clinical Assessments are beneficial for adding to the comprehensive dysphagia assessment.

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- Observer ratings are scores given using units of measurement that are defined by the researchers (Meagher, 2009).
- Provides more insight into the clinical bedside swallow assessment and instrumental assessment.



### **Trained Observer Rated Clinical Scales**

- Table 1. Functional Oral Intake Scale\*

   Iverel
   Description

   1
   Nothing by mouth

   2.
   Tube dependent with minimal attempts of food or liquid

   3
   Tube dependent with minimal attempts of food or liquid

   3
   Tube dependent with minimal attempts of food or liquid

   4
   Total oral diet of multiple consistency

   5
   Total oral diet of multiple consistency without special preparation or compensations

   7
   Total oral indae without restrictions

   \*\*/\*
   Total oral indae without restrictions

   \*\*/\*
   Total oral indae without restrictions

   \*\*/\*
   Some symbolic direct of multiple consistency

   \*\*
   Total oral indae without restrictions

   \*\*\*
   Postbal some symbolic directions ability.

   \*\*\*
   Some symbolic directions directions ability.

   \*\*\*
   Some symbolic directions of systematic directions ability.

   \*\*\*
   Some symbolic directions ability.

   <
- Functional Oral Intake Scale (FOIS)
- The Dysphagia Outcome and Severity Scale (DOSS)
- The Dynamic Imaging Grade of Swallowing Toxicity (DIGEST-H&N Cancer)
- Bolus Residue Scale (BRS)
- Yale Pharyngeal Rating Severity Scale(Observed during FEES)
- Performance Status Scale (H&N Cancer)
- Penetration Aspiration Scale (PAS-Observed During FEES or MBS)
- Reflux Finding Score (RFS)

### Patient Reported Outcome Measures (PROM)



Patient Reported Outcome Measures (PROM) are patient ratings that provide insight into:

- Best way to solicit and measure perspectives
- Cognitive awareness through comprehension
- Informing and facilitating clinician/client communication about goals
- Monitoring changes for intervention (Cohen & Hula, 2020).

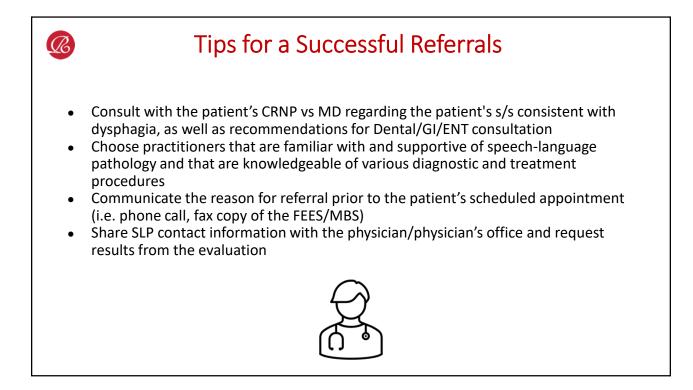


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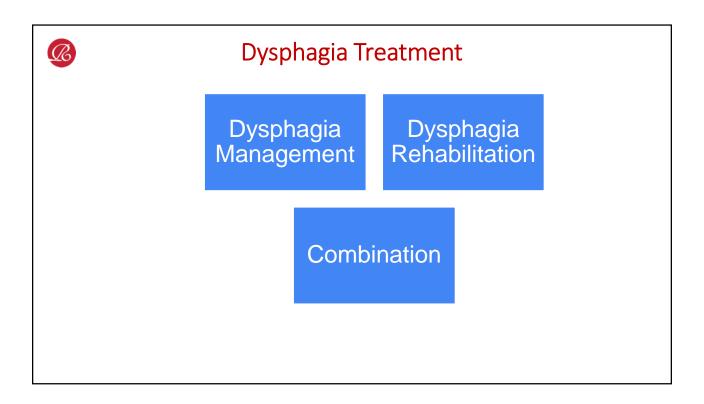
### Patient Reported Outcome Measures

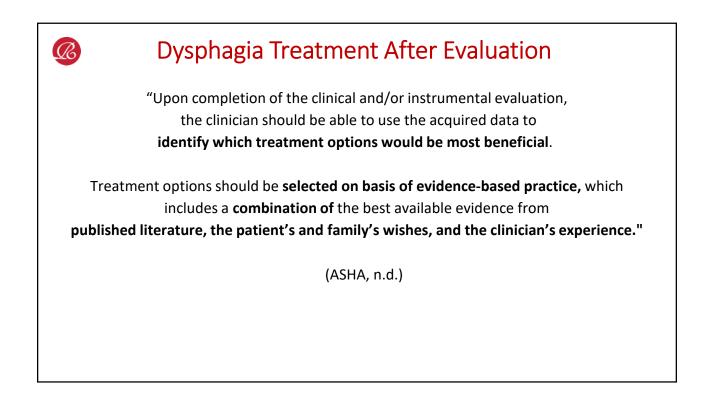
- Eating Assessment Tool (EAT-10)
- M.D. Anderson Dysphagia Index (MDADI)-H&N Cancer
- Quality of Life in Swallowing Disorders (SWAL-QOL)
- Swallowing Quality of Care (SWAL-Care)
- Sydney Swallow Questionnaire (SSQ)
- The Dysphagia Handicap Index (DHI)
- Reflux Symptoms Index (RSI)
- Dysphagia Numerical Rating Scale (Dysphagia NRS)

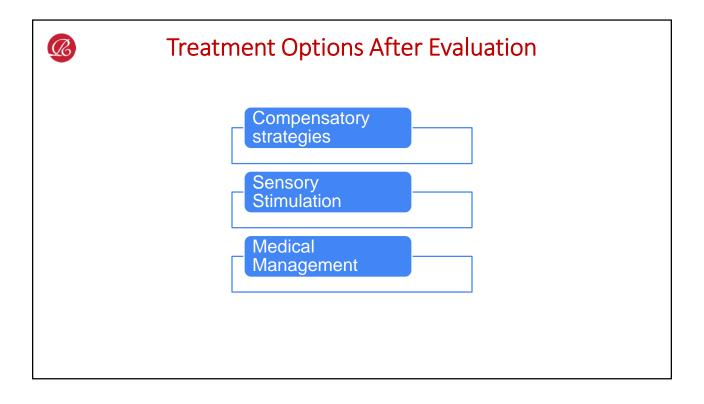


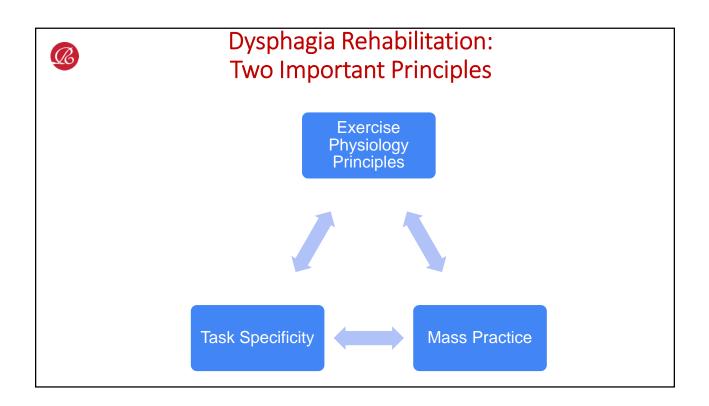


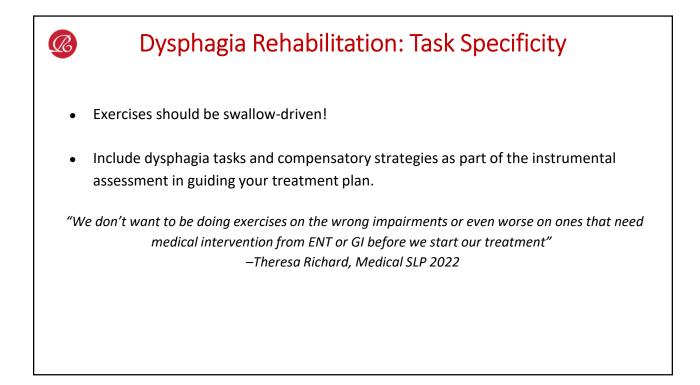














### Dysphagia Rehabilitation: Strength

 When the focus is to increase muscle strength, there must be a systemic increase in resistance (load bearing or intensity) to increase muscle size and strength.





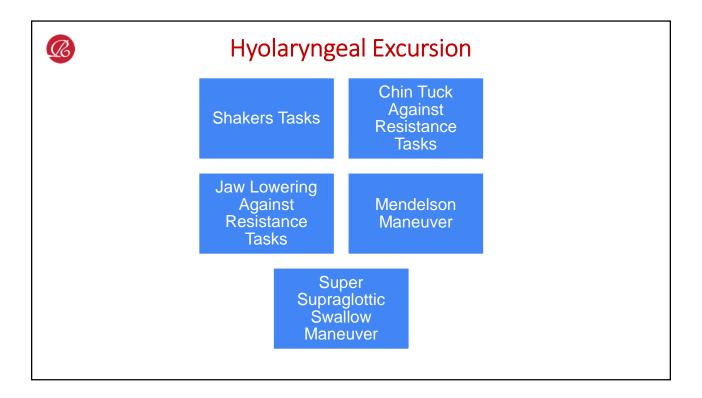
Dysphagia Rehabilitation: Progression

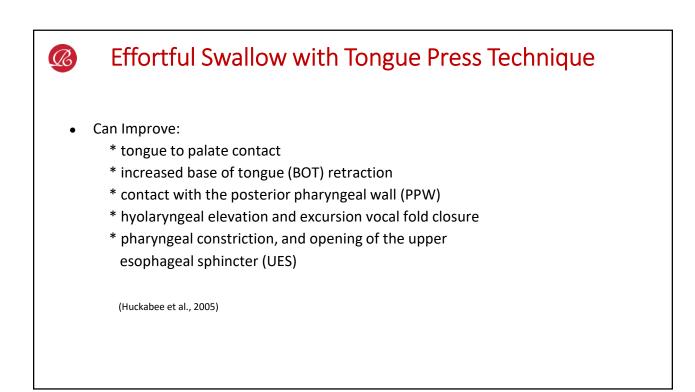
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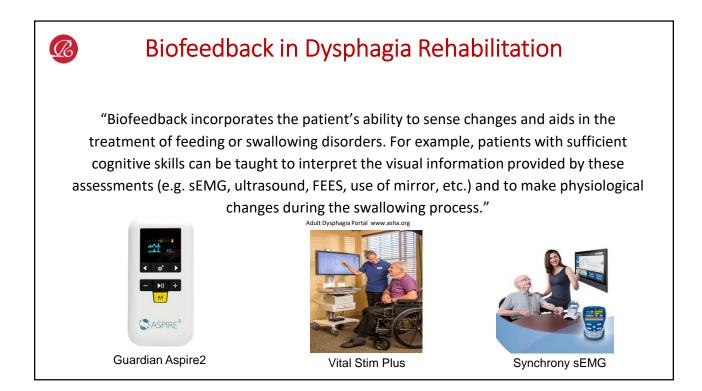
- Activity that does not force the body beyond its usual level of activity will not result in neuromuscular adaptation (Pollock, et al, 1998).
- Depending on etiology of deficits, often the focus of exercise should be on working to the point of fatigue instead of simply performing a specific, routine number of sets and repetitions (i.e. exceptions would include diagnoses such as ALS, Myasthenia Gravis, post-Covid syndrome, etc.)

## Dysphagia Rehabilitation: Example Exercises Inspiratory/Expiratory Muscle Strength Training Effortful Swallowing Tasks Hyolaryngeal Excursion Tasks Pharyngeal Constriction Tasks Vocal Function Tasks Lingual Press Against Resistance Bolus Mastication Tasks Resistive Labial Strengthening Tasks









### Neuromuscular Electrical Stimulation (NMES)

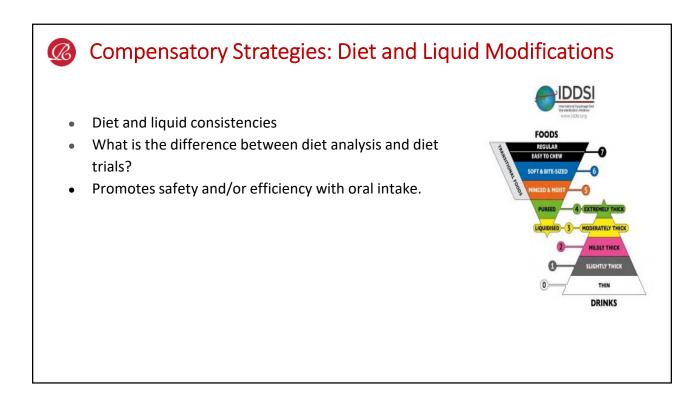
- Small electrical impulses delivered through electrodes attached to the skin.
- NMES is applied in conjunction with traditional exercise therapy.

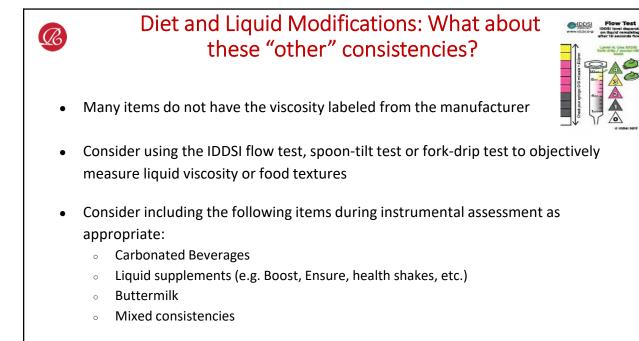
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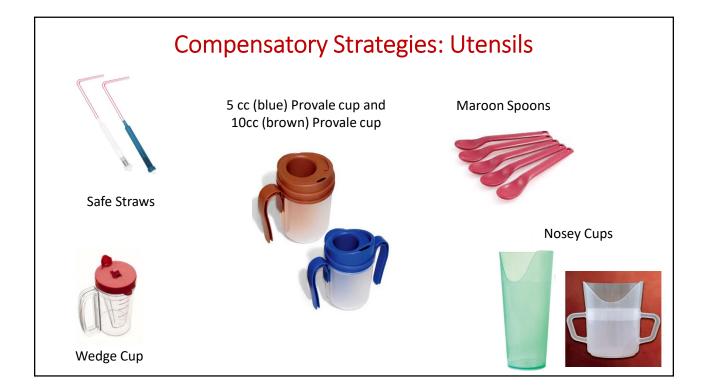
• Some brands of NMES for swallowing include: VitalStim, e-Swallow, Guardian, AmpCare. Requires training. Various protocols and electrode placements.

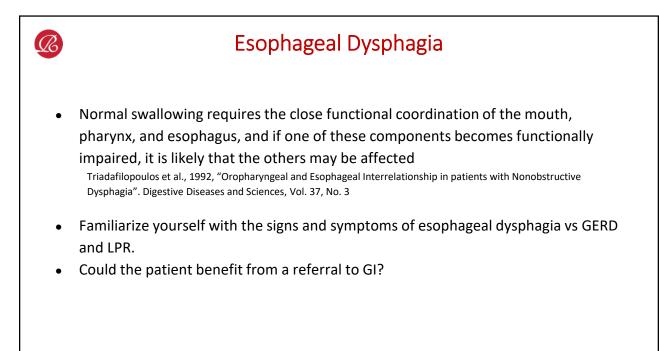
Sun, S.F. et al, Combined Neuromuscular Electrical Stimulation (NMES) with Fiberoptic Endoscopic Evaluation of Swallowing (FEES) and Traditional Swallowing Rehabilitation in the Treatment of Stroke-Related Dysphagia.









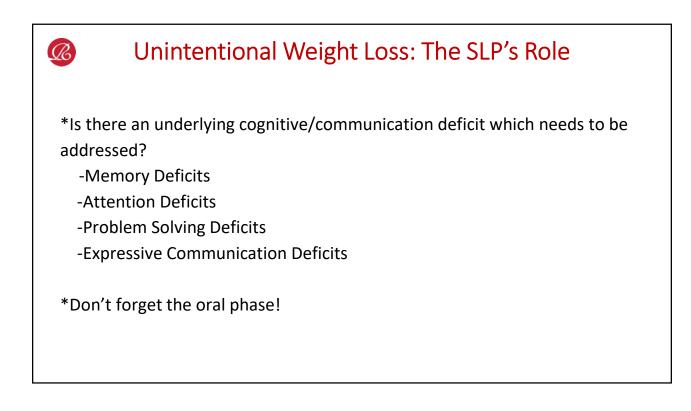


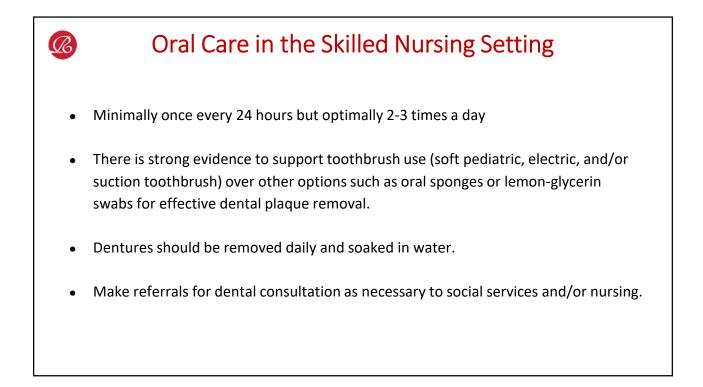


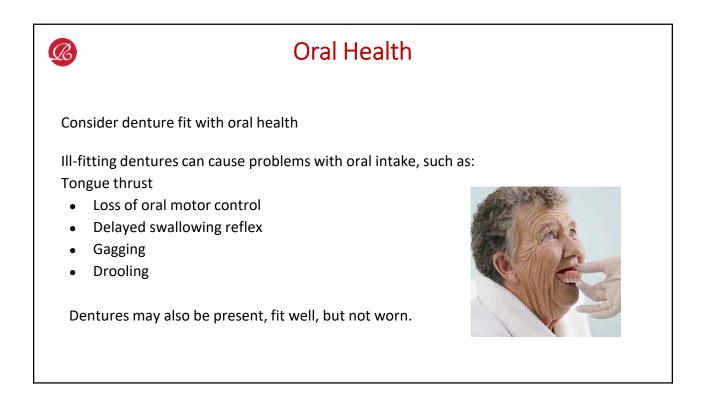
### Signs/Symptoms of GERD

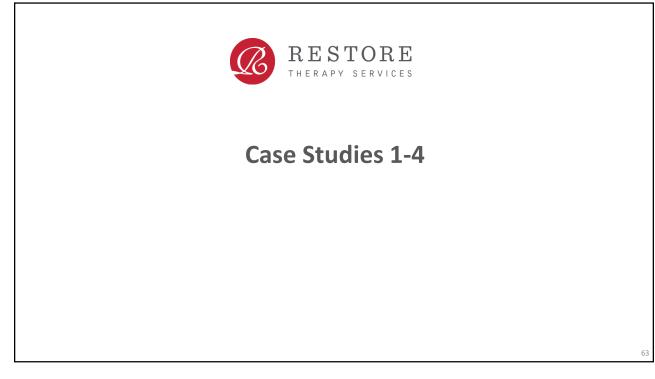
Heartburn	Chest pain	Breathing problems
Hoarseness	Throat pain	Dry throat
Bronchitis	Belching/ hiccup	Difficulty swallowing
Halitosis	Sour taste	Recurrent pneumonia
Spitting	Chronic dysphonia	Intermittent dysphonia
Vocal fatigue	Voice breaks	Chronic throat clearing
Chronic Cough	"Postnasal drip"	Excessive throat mucus
Drooling	Regurgitation	Unexplained weight loss
Globus sensation in	throat or chest	Changes in dietary habits

Ø	CMS RAI Manual: MDS 3.0 Section K0300: Weight Loss	
	K0300: Weight Loss	DEFINITIONS 5% WEIGHT LOSS IN 30 DAYS Start with the resident's weight closest to 30 days age and multiply it by .95 (or 95%). The resulting figure represents a 5% loss from the weight 30 days ago. If the
	K0300. Weight Loss	resident's current weight is equal to or less than the resulting figure, the resident
	Loss of 5% or more in the last month or loss of 10% or more in last 6 months     0. No or unknown     1. Yes, on physician-prescribed weight-loss regimen     2. Yes, not on physician-prescribed weight-loss regimen	has lost more than 5% body weight. <b>10% WEIGHT LOSS IN</b> <b>180 DAYS</b> Start with the resident's weight closest to 180 days ago and multiply it by .90 (or 90%). The resulting figure represents a 10% loss from the weight 180 days ago. If the resident's current weight is equal to or less than the resulting figure, the resident has lost 10% or more body weight.



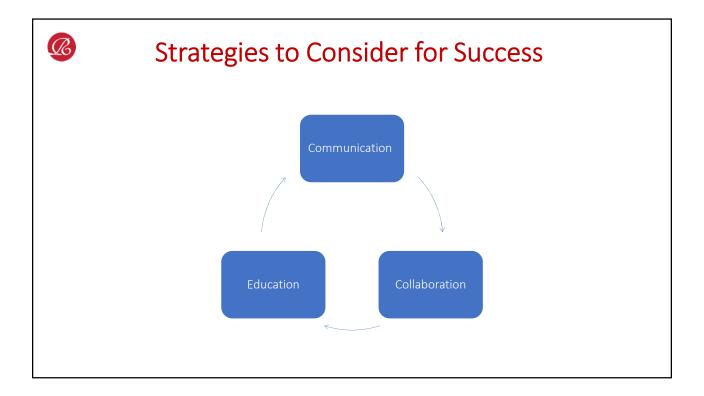


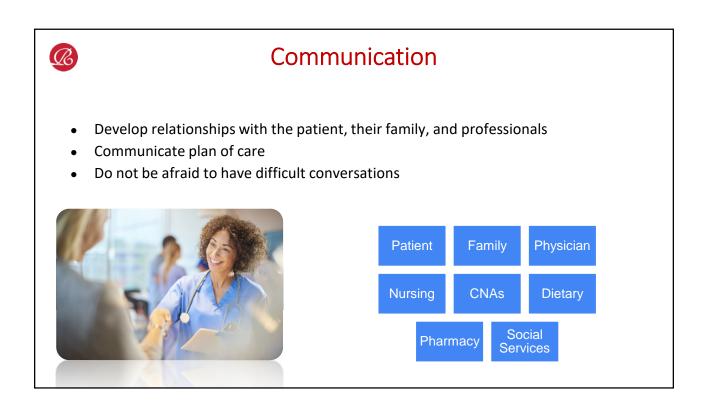




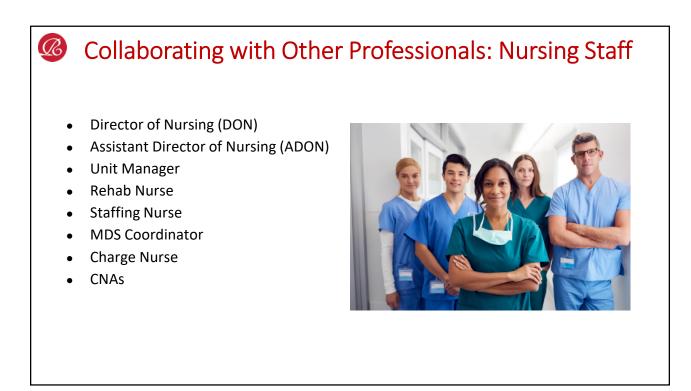


Strategies to Promote Successful Dysphagia Rehab and Management in the SNF Setting











### Collaborating with Other Professionals: Certified Nursing Assistants

Certified Nursing Assistants and Nursing Aides (CNAs) are integral to the meeting the basic needs and wants of the patient.

Tips to assist with better collaboration

- Do what you can to make their job easier.
- If you have time to help them out, take that minute.
- If you see them implementing something you recommended, thank them.
- Give them the opportunity to share their knowledge of the resident. They spend more mealtimes with resident than we do.



### Collaboration with the Multidisciplinary Team

The care plan process lends itself to therapy referrals due to changes in the resident. Let's remind them that there is more to identifying potential swallowing problems that coughing and choking.

- Change in respiration during po intake
- Recurrent pneumonia
- Decline in po intake or interest in eating
- Weight loss
- Complaints of food becoming lodged in the throat, globus sensation, pain when swallowing, or frequent regurgitation

### Reason for Referral: Ask Specifics

Many of the referral and screening tools utilized are simple checklists. Ask specifics about:

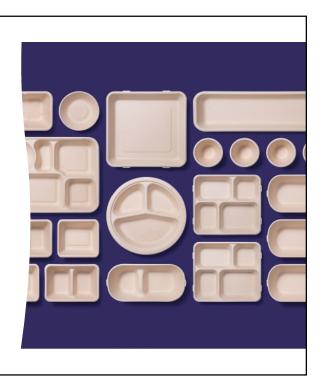
• Positioning: Bed or chair

Ø

- Occurrence: Frequency of episodes
- Duration: How long has this been happening (i.e. days, weeks, etc)?
- Progression: Is it better or worse?

## Collaborating with Other Professionals: Dietician

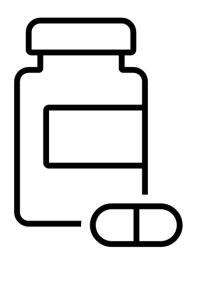
- Diet and liquid consistency modifications
- Weight loss
- Tube feedings (bolus or continuous)
- Individualized considerations
- Maximizing caloric intake



# Collaborating with Other Professionals: Pharmacist

- Xerostomia
- Level of alertness
- Coughing, etc.

If these issues are significant and potentially reversible, the pharmacist may recommend alternative options to the MD to offer some relief to the resident.



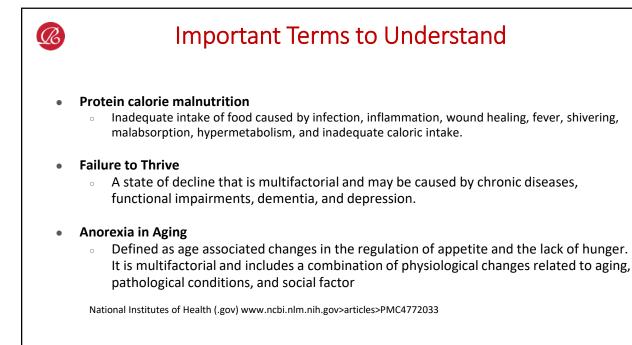
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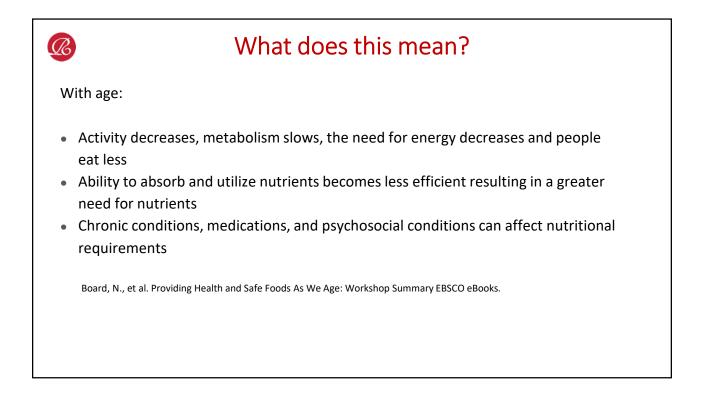
#### Collaborating with Other Professionals: Social Services/Discharge Coordinator



- Serve as informants regarding the resident's living environment.
- Refer residents who may be experiencing worsening depression or anxiety
- Give insight to the resident's DC plans
- Assistance with transitioning care (i.e. Home Health, Outpatient services)









#### Alternative Means of Nutrition

- Tube feeding may be clinically appropriate in certain circumstances, but it should not be an automatic next step when other feeding strategies have failed.
- Before deciding to initiate tube feeding, the interdisciplinary care team should meet with the patient and responsible party/health care proxy to carefully consider the risks vs benefits of tube feeding and the patient's preferences.



# Consider the Research

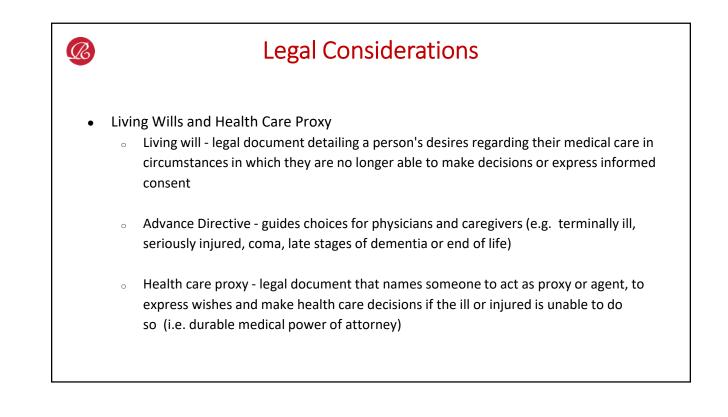
 "Evidence suggests that nutrients neither prolongs nor improves life for many elderly patients with anorexia-related malnutrition at the end of life, with weight loss and cachexia frequently persisting despite interventions."

Friedrich, L., & RD, C. (2013). End-of-life nutrition: is tube feeding the solution?. Annals of Long-Term Care, 21(10).

 "Studies have shown that feeding tubes are of unproved benefit in ensuring adequate nutrition, preventing pressure sores, preventing aspiration pneumonia, providing comfort, improving functional status, or extending life in patients with advanced dementia. The procedure can be burdensome through tube-related complications and the use of restraints."

Li I. Feeding tubes in patients with severe dementia. Am Fam Physician. 2002 Apr 15;65(8):1605-10, 1515. PMID: 11989637

(Cassaret, Capo, Kaplan, 2005; Friedrich, 2013)





# Patient/Family Desires to Continue PO Feeding

- SLP Role:
  - Explain risks that may be associated with continued PO intake
  - Recommend the safest consistencies
  - Educate on benefits of good oral care
  - Educate on best practice for feeding and safety strategies with chosen diet
- PO intake in conjunction with tube feedings:
  - If appropriate this may be 1 meal/day, 1 small snack/day, or simply ice chips throughout the day
  - Need: supporting documentation that shows the resident may benefit from limited amounts of PO intake to help maintain quality of life, maintain oral and pharyngeal musculature, and to help prevent the risk for aspiration

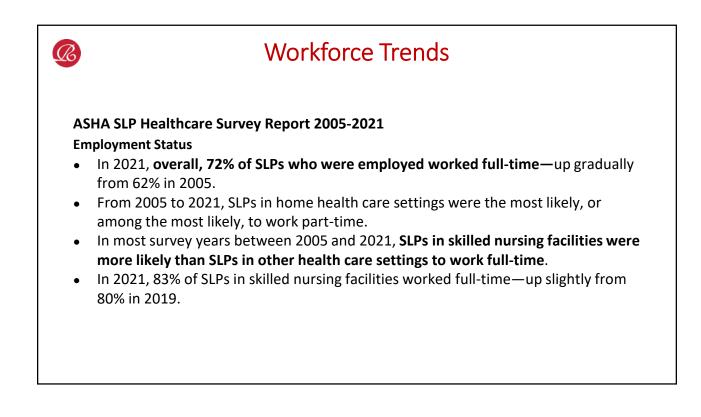


#### Hospice or Palliative Care

- Purpose: to make the patient feel more comfortable and improve their quality of life
- Includes:
  - Holistic end-of-life (EOL) care focused on providing mental, emotional, social and spiritual support
  - Comfort medication
  - Medical equipment and supplies
  - Care in facility or at home
  - Support for caregivers



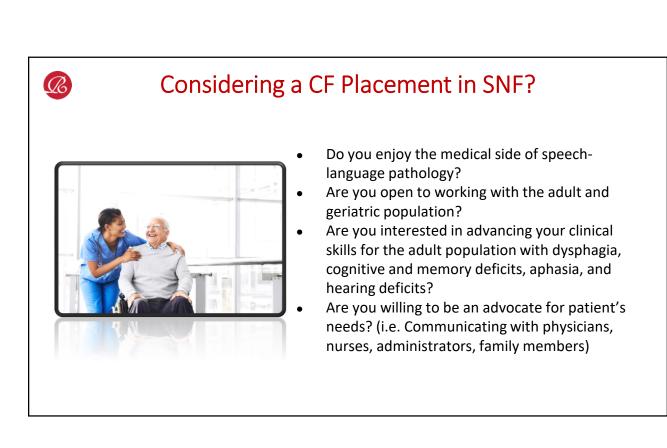
# Keys to a Successful CF Experience in the SNF Setting



# Workforce Trends ASHA SLP Healthcare Survey Report 2005-2021 Job Openings In 2021, 36% of SLPs reported that job openings outnumbered job seekers in their type of employment facility and geographic area, similar to recent past years (28%–37% from 2011 to 2019). From 2005 to 2021, SLPs who worked in rural areas were more likely than SLPs who worked in suburban and city/urban areas to report that job openings outnumbered job seekers in their type of facility and geographic area. Job Openings by Health Care Setting From 2005 to 2021, SLPs in home health care settings, outpatient clinics or offices, and skilled nursing facilities were more likely than SLPs in

geographic area.

hospitals to report that job openings outnumbered job seekers in their type of facility and



# Ø

# Considering a CF Placement in SNF?

- What does a typical day look like at this facility?
- Will I be traveling to other facilities to provide evaluations or treatment? How much of my work day or week will be spent traveling?
- What will the availability of my supervisor be to answer questions and provide mentorship on-site?
- Is there a CF training program available at this facility?
- Are there systems in place to make sure training minimums are being met for ASHA and your state licensure requirements?



# Considering a CF Placement in SNF?

- In your opinion, what are important qualities that you are looking for in a candidate for this position?
- What do you enjoy about working for this company?
- What challenges do you see existing in this role, and what do you see as necessary to overcoming them?
- What degree of flexibility will I have in my work schedule?
- Is there a weekend or holiday on-call rotation?

# Considering a CF Placement in SNF?

- How is productivity calculated? What activities count in productivity calculations? Are those targets achievable?
- What does on-boarding and mentorship look like at first hire and later on? Are there other SLPs in the building?
- How does the compensation and benefits package compare to other options?
- What sets your company apart from others? (Young, J., 2023)
- How would you describe overall company culture? (Young, J., 2023)





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#### Working in a Skilled Nursing Facility... Is It Right for You?

- Article in The ASHA Leader June/July 2021 by Monica Sampson and Jamila Harley state that "Like any work setting, a skilled nursing facility is better-suited for some prospective employees than for others."
- The authors encourage you to **ask questions** of employers including:
  - How long has the rehab provider contracted with this facility?
  - What systems are in place to ensure that patients have access to instrumental swallowing assessments within a reasonable time frame?
  - Is this position a growth position (adding new staff) or is this to replace a vacated position? Why did the last person leave?

#### Considering a Placement in a SNF? What Credentials Does the CF Supervisor Need?

- A clinical fellow must be supervised by a speechlanguage pathologist who holds a valid ASHA Certificate of Clinical Competence (CCC)
- The clinical fellow may be required to extend their clinical fellowship if the CF supervisor does not keep their certification status up to date-make sure to validate the supervisor's CCC status
- <u>It is the supervisor's responsibility</u> to maintain certification during the entire clinical fellowship period through timely payment of annual dues and fees



#### Considering a Placement in a SNF? What Credentials Does the CF Supervisor Need?

- The supervisor must have 9 months of full-time experience working as a speechlanguage pathologist after being awarded the CCC-SLP
- 2 hours of professional development must be completed by the supervisor in the area of supervision at least once after being awarded the CCC-SLP
  - <u>Elements of Effective Supervision and Mentoring</u> (CEU 360)
  - <u>https://www.asha.org/professional-development/supervision-courses</u> (ASHA)
- ASHA Certification Online Verification Portal

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<u>https://www.asha.org/certification/cert-verify</u>

# How Long Does the Clinical Fellowship Last? 36 weeks of full-time (35 hours per week) experience (or the equivalent part-time experience), totaling a minimum of 1260 hours

- Part-time work can be counted, as long as the CF works more than 5 hours per week
- 80% of time must be spent in direct clinical contact (assessment/diagnosis/evaluation, screening, treatment, report writing, family/client consultation, and/or counseling)
- Travel, lunch, vacations/holidays, leaves of absence, and other forms of paid or unpaid time off cannot be counted in your number of toward the minimum number of CF hours



#### Clinical Fellowship Supervision: Minimum Requirements

Segment 1	Segment 2	Segment 3
6 hours of direct observation	6 hours of direct observation	6 hours of direct observation
6 hours of other monitoring activities	6 hours of other monitoring activities	6 hours of other monitoring activities
Complete Clinical Fellowship Skills Inventory (CFSI)	Complete Clinical Fellowship Skills Inventory (CFSI)	Complete Clinical Fellowship Skills Inventory (CFSI) *The Clinical Fellow must receive a score of "2" or better on all skills on the <u>CFSI</u> during the final segment of the CF experience





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